

**Application form**

*Please look at the full Guidelines document on our* [*website*](https://www.oceanriskalliance.org/innovation-challenge/) *before completing this form. Once completed, please submit your form to* *innovation@oceanriskalliance.org* *before 23:59 GMT on 27 November 2020. Please make sure you read and respond to all questions and that you fully understand the eligibility and assessment criteria in the Annex to this form.*

# Project details

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| --- | --- |
| **Reference number**  | ORRAA-INN-XXX (*leave blank - allocated by ORRAA*) |
| **Project Name**  |  |
| **Country / countries targeted[[1]](#footnote-2)** |  |
| **Project start date**  |  |
| **Project summary (100 word limit)** |
|  |

# Lead applicant

Please indicate the lead organisation and contact person.

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| --- | --- |
| **Lead organisation name** |  |
| **Type of organisation** |   |
| **Lead organisation contact person** |  |
| **Website** |  |
| **Email address** |  |
| **Country**  |  |
| **Postal address** |  |

# Support organisation

Any organisation other than the lead that is critical to the proposal.

|  |  |
| --- | --- |
| **Support organisation name** |  |
| **Type of organisation** |  |
| **Support organisation contact person**  |  |
| **Website**  |  |
| **Email address** |  |
| **Country**  |  |
| **Postal address** |  |

*Add organisations as needed*

# Problem statement

Your problem statement should include a narrative that describes a locally-driven understanding of the underlying problem and the barrier(s) to building resilience and protecting coastal ecosystems in the focal region, and how the proposed approach will inform coastal resilience-building efforts. It should address these topics/questions: What are the critical and unaddressed barriers to building coastal resilience to acute shocks and chronic stresses in your region? What evidence substantiates this assessment?

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| *Show understanding of context by clearly describing the problem you address (250 word limit).* |
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# Solution statement

Your solution statement should address what innovative financial or insurance idea or solution the team proposes to help coastal communities reduce their exposure to risks and to increase their ability to thrive in the face of uncertainty. The solution statement should address these questions: What are the innovative financial or insurance approaches, technologies, and institutional arrangements you will employ? How will your project lead to resilience building through deeper and wider integration into the systems in the focus area? With whom will you work?

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| *How will you address the challenge with your solution (250 word limit).* |
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# Specific assessment criteria

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| Innovativeness  |
| *Please refer to the assessment criteria. Briefly describe the innovation in your project and justify how and why it is innovative (100 word limit).* |
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| Potential for impact |
| *Please refer to the assessment criteria below. Briefly describe the potential scale and scope of social and environmental benefits of the proposed solution? (100 word limit).* |
|  |
| Equity |
| *Please refer to the assessment criteria below. Briefly describe how your project addresses equity, gender and human Rights (100 word limit).* |
|  |
| Scalability |
| *Please refer to the assessment criteria below. Briefly describe the scalability of your innovation and strategy for scaling (100 word limit).* |
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| Viability and financial sustainability |
| *Please refer to the assessment criteria below. Briefly describe the potential for profitability as well as the revenue generating model (100 word limit).* |
|  |
| Team composition / organisation |
| *Please refer to the assessment criteria below. Briefly list the team members. Describe the team’s collective strengths and experiences relevant to the project and the solution (200 word limit).* |
|  |
| Risks and assumptions  |
| *Please describe the key risks and assumptions with respect to your project and how you intend to mitigate or reduce the potential impact of these risks. NOTE: ORRAA encourages innovative projects and innovation by its nature often involves risks. What matters is that you have identified the potential risks and have/will put plans in place to try and manage these risks.* |

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| --- | --- | --- | --- |
| **Risk or assumption description**  | **Impact**  | **Probability of risk occurring**  | **Mitigation strategies**  |
|  | High/Medium/Low  | High/Medium/Low  |  |
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# Other Information

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| *(50 word limit)* |
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annex

## Eligibility criteria

In order to be eligible an application has to meet the below criteria. All ineligible applications will be rejected during pre-screening and applicants notified by email.

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| * Solution builds resilience to ocean risk for most vulnerable coastal communities and places.
* Gender, equity, human rights and biodiversity included.
* Conservation or business experience.
* Insurance and/or finance solution.
* The solution targets coastal ODA-eligible countries (see below)
* Profitable revenue model.
* Does no harm.
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## Eligible countries

Eligible countries include coastal and Small Island Developing States in [ODA-eligible countries](http://www.oecd.org/dac/financing-sustainable-development/development-finance-standards/DAC-List-of-ODA-Recipients-for-reporting-2020-flows.pdf) that have not been [sanctioned](https://www.international.gc.ca/world-monde/international_relations-relations_internationales/sanctions/current-actuelles.aspx?lang=eng) by the Government of Canada or classified as [high or medium intensity](http://pubdocs.worldbank.org/en/888211594267968803/FCSList-FY21.pdf) conflict by the World Bank Group.

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| Albania, Algeria, Angola, Antigua and Barbuda, Argentina, Bangladesh, Belarus, Belize, Benin, Brazil, Cabo Verde, Cambodia, China (People's Republic of), Colombia, Comoros, Congo, Costa Rica, Côte d'Ivoire, Cuba, Djibouti, Dominica, Dominican Republic, Ecuador, Egypt, El Salvador, Equatorial Guinea, Eritrea, Fiji, Gabon, Gambia, Ghana, Grenada, Guatemala, Guinea, Guinea-Bissau, Guyana, Haiti, Honduras, India, Indonesia, Jamaica, Jordan, Kenya, Kiribati, Liberia, Madagascar, Malaysia, Maldives, Marshall Islands, Mauritania, Mauritius, Mexico, Micronesia, Montenegro, Montserrat, Morocco, Mozambique, Myanmar, Namibia, Nauru, Nicaragua, Niue, Pakistan, Palau, Panama, Papua New Guinea, Peru, Philippines, Saint Helena, Saint Lucia, Saint Vincent and the Grenadines, Samoa, Sao Tome and Principe, Senegal, Sierra Leone, Solomon Islands, South Africa, Sri Lanka, Suriname, Tanzania, Thailand, Timor-Leste, Togo, Tokelau, Tonga, Tunisia, Turkey, Tuvalu, Vanuatu, Vietnam, Wallis and Futuna, West Bank and Gaza Strip. |

## Assessment criteria

Eligible submissions will be evaluated across the below evaluation criteria (maximum score is 100). Out of these between 6 and 10 submissions will be selected as winners, depending on the number and quality of the applications.

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| **INNOVATIVENESS (0-15)**How innovative is the proposed financial or insurance innovation? For the purposes of this call, innovation is defined as ideas that address an existing challenge in a manner that adds value, is sustainable and is practically implementable. Scoring as per below (continuous scale from 0-15):* The innovation is completely new and new to the sector (15)
* The innovation is new on the continent and new to the sector on the continent (10)
* The innovation is new in the country (5)
* It is not innovative by the above metrics (0)

**POTENTIAL FOR IMPACT (0-15)**Does the proposed solution show potential for improving the resilience of the most vulnerable people and places in coastal areas? How will the solution contribute to the environmental sustainability and adequate use or coastal ecosystems and resources? Does it show potential for long term impact? Either independently or as part of something? Is the route to impact clearly described and realistic? Scoring as per below (continuous scale from 0-15):* Strong environmental conservation and/or resilience efforts backed by science and high potential for social impact (15)
* Medium environmental conservation and/or resilience efforts and potential for social impact (10)
* Some potential for environmental conservation and/or resilience impact (5)
* No potential for environmental conservation and/or resilience benefits (0)

**EQUITY (0-15)**How does the project specifically address improving gender, equity and human rights? Is special consideration given to vulnerable groups and communities (women, girls, indigenous groups, people with disabilities, etc.) or low-income populations? Outline how women, children and other vulnerable groups will benefit. Scoring as per below (continuous scale from 0-15):* Gender transformative. Strong benefits and involvement of marginalized groups (15)
* Gender sensitive. Attention to equity and integration of gender considerations (10)
* Some benefits for and involvement of excluded groups (5)
* No consideration for excluded and marginalized groups (0)

**SCALABILITY (0-15)**What are the short- and long-term strategies to ensure results are scaled and sustained beyond the project? Is the project considered scalable? Does it have a potentially scalable model? Is the product or service in question likely to attract sufficient interest and demand in the marketplace and among stakeholders? What is scale-up or exit-strategy? Scoring as per below (continuous scale from 0-15):* Easily scalable and replicable (15)
* Potentially scalable and replicable (10)
* Low scalability and replicability (5)
* No scalability and replicability (0)

**VIABILITY AND FINANCIAL SUSTAINABILITY (0-15)**Is the project considered viable? Does it have potential for financial sustainability? What is the revenue generating model: costs versus revenue, and how is break-even defined? Volume, reach, price? Scoring as per below (continuous scale from 0-15):* High profitability and revenue generating potential (15)
* Good profitability and revenue generating potential (10)
* Challenging profitability and revenue generation (5)
* No profitability and revenue potential (0)

**TEAM COMPOSITION/ORGANISATION (0-15)** Is the team composition relevant for developing the idea further? Do they have relevant experience, skill sets and in-depth knowledge? Do they have the right networks? Is there a clear ownership of the idea? Note: It is not necessary to have a full team envisaged for implementation at time of applying. Scoring as per below (continuous scale from 0-15):* Strong and relevant conservation, resilience and business experience (15)
* Medium conservation, resilience and business experience (10)
* Low conservation, resilience or business experience (5)
* No conservation, resilience or business experience (0)

**RISK (0-10)** What are the risks, both long and short term, to successful project implementation? How are these analyzed and how will these be mitigated? Any project with a high risk of doing harm (environmental / social) is ineligible. (NB: Inverse scoring: 10 = low risk, 0 = high risk)* Low risk (10)
* Medium risk (5)
* High risk (0)
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1. Eligible countries include coastal and Small Island Developing States in [ODA-eligible countries](http://www.oecd.org/dac/financing-sustainable-development/development-finance-standards/DAC-List-of-ODA-Recipients-for-reporting-2020-flows.pdf) that have not been [sanctioned](https://www.international.gc.ca/world-monde/international_relations-relations_internationales/sanctions/current-actuelles.aspx?lang=eng) by the Government of Canada or classified as [high or medium intensity](http://pubdocs.worldbank.org/en/888211594267968803/FCSList-FY21.pdf) conflict by the World Bank Group (see eligibility criteria in Annex). [↑](#footnote-ref-2)